

1632 8PM

PTO/SB/82 (09-04)

Approved for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/980,772
Filing Date	October 30, 2001
First Named Inventor	Malcom R BRANDON
Art Unit	1632
Examiner Name	Joseph T. Woltach
Attorney Docket Number	78870/00004

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

OR

I hereby appoint the practitioners associated with the Customer Number: 23380

Please change the correspondence address for the above-identified application to:

The address associated with  
Customer Number:

23380

OR

Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

*Peter S. Montague*

Name

*Peter S. Montague*

Date

4-2-05

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through 07/01/2009. GPO : 2009-200  
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# **TRANSMITTAL FORM**

*(to be used for all correspondence after initial filing)*

**Total Number of Pages in This Submission**

Application Number	09/980,772
Filing Date	October 30, 2001
First Named Inventor	Malcom R. BRANDON
Art Unit	1632
Examiner Name	Joseph T. Woitach
Attorney Docket Number	78870/00004

ENCLOSURES <i>(Check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Statement Under 37 CFR 3.37(b); Copy of Assignment
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Tucker Ellis & West LLP		
Signature			
Printed name	John X. Garred		
Date	February 18, 2005	Reg. No.	31,830

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Valerie A. Salvino</i>	Date	February 18, 2005
Typed or printed name	Valerie A. Salvino		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Malcom R. Brandon, et al.Application No./Patent No.: 09/980,772 Filed/Issue Date: October 30, 2001Entitled: **PROCESS OF CELL REPROGRAMMING THROUGH PRODUCTION OF A HETEROKARYON**Stem Cell Sciences Pty Ltd., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

A  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013141, Frame 0725, or for which a copy thereof is attached.

OR

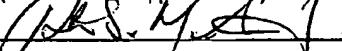
B  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

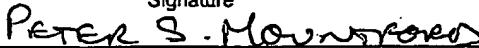
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

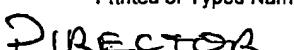
4-2-05

Signature

Date



Telephone Number



Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



OCTOBER 31, 2002

PTAS

OPPENDAHL & LARSON, LLP  
MARINA T. LARSON, PH.C.  
P.O. BOX 5068  
DILLON, CO 80435-5068

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)



\*102261672A\*

**CORRECTED  
NOTICE**

UNITED STATES PATENT AND TRADEMARK OFFICE  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/30/2002

REEL/FRAME: 013141/0725  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:  
BRANDON, MALCOLM ROY

DOC DATE: 05/02/2002

ASSIGNEE:  
FRENCH, ANDREW JAMES

DOC DATE: 05/16/2002

ASSIGNEE:  
CHANG, HOUNGWU

DOC DATE: 07/17/2002

ASSIGNEE:  
MOUNTFORD, PETER

DOC DATE: 02/22/2002

ASSIGNEE:  
MUNSIE, MEGAN JAYNE

DOC DATE: 02/28/2002

ASSIGNEE:  
STEM CELL SCIENCES PTY LTD.  
2800 RIDDELL PARADE, 1ST FLOOR  
ELSTERNWICK, VICTORIA 3185

AUSTRALIA

**COPY**

013141/0725 PAGE 2

SERIAL NUMBER: 09980772  
PATENT NUMBER:

FILING DATE: 07/31/2002  
ISSUE DATE:

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

OCT 21 2002

10-25-2002

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings → → →

RE:



102261672

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

FEB 22 2005

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Brandon, Malcolm Roy, French, Andrew James.  
 Chang, Hongwu, Mountford, Peter and  
 Munsie, Megan Jayne

07/30/02

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other

5/2/02; 5/16/02; 7/17/02; 2/22/02; 2/28/02

Execution Date:

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/980,772

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marina T. Larson, Ph.C.Internal Address: Oppedahl & Larson LLPStreet Address: P.O. Box 5068City: Dillon State: CO Zip: 80435-50686. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number:

15-0610U.S. DEPARTMENT OF COMMERCE  
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## 9. Signature.

08/02/2002 LMUELER 00000047 09980772

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40.00 0P

Marina T. Larson, Ph.D.

Name of Person Signing

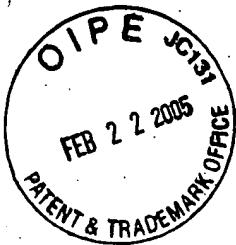
Marina T. Larson

Signature

07/24/02

Date

Total number of pages including cover sheet, attachments, and documents: 5Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



D  
ATTORNEY DOCKET NO. FREE.P-002  
PATENT APPLICATION  
October 11, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Brandon, et al.  
Serial No. : 09/980,772  
Filing Date : October 30, 2001  
Title : Cell Reprogramming

REQUEST TO CORRECT NOTICE OF RECORDATION

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Applicants return the original Notice of Recordation of Assignment Document and the Assignment as filed and request that you make the corrections as indicated on the marked up copy of the Notice attached hereto. The errors were made in the transcription from the Recordation Cover Sheet to the Notice of Recordation.

Respectfully submitted,

OPPEDAHL & LARSON LLP

*Marina T. Larson*

Marina T. Larson, Ph.D.  
Reg. No. 32,038  
P.O. Box 5068  
Dillon, CO 80435-5068  
970-468-6600

---

CERTIFICATE OF MAILING

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patents and Trademarks Office, Washington, D.C. 20221 on 10/15/02

Date

*Linda L. Orr*  
Linda L. Orr

**A**  
**A S S I G N M E N T**

WHEREAS, Malcolm Roy Brandon, Andrew James French, Hongwu Chang, Peter Mountford and Megan Jayne Munsie, have made an invention for which we are making application for Letters Patent of the United States entitled Cell Reprogramming, Serial No. 09/980772, ("the Application"); and

WHEREAS, Stem Cell Sciences Pty Ltd., a corporation organized and existing under the laws of Australia is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that We, the said Inventors, for and in consideration of the sum of One Australian Dollar lawful money of Australia, to us in hand paid by said and other valuable considerations unto us moving from said Stem Cell Sciences Pty Ltd, at or before the sealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said Stem Cell Sciences Pty Ltd its successors and assigns, the entire right, title and interest in and to the said invention for which We have made application for Letters Patent of the United States by the Application, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND We, the said Inventors, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Stem Cell Sciences Pty Ltd as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said Stem Cell Sciences Pty Ltd, its successors and assigns;

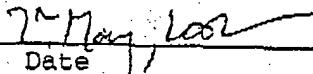
AND We, the said Inventors, for the considerations aforesaid, do hereby covenant and agree to and with said Stem Cell Sciences Pty Ltd, its successors and assigns, that We have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that We, our executors or administrators, shall and will do all

lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said Stem Cell Sciences Pty Ltd, its successors and assigns, may be required or necessary more effectively to secure to and vest in said Stem Cell Sciences Pty Ltd its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed; and that We will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

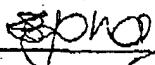
IN WITNESS WHEREOF We have hereunto set our hand and seal on the date below written.



Malcolm Roy Brandon

  
Date

Witnesses:



AJ French

Andrew James French

16.05.02

Date

Witnesses:

VSL16 May 2002Hongwu Chan

Hongwu Chan

17.7.2002

Date

Witnesses:

Michelle Basu17.7.2002Peter Mountford22/8/02

Date

Witnesses:

H. Flynn22/02/2002

*Megan Jayne Munsie*  
Megan Jayne Munsie

*29/02/2002*

Date

Witnesses:

*Ron A Davison*

Ron A Davison

21 Farnham Lane

-4-